

Attorney Docket No. 566/44949
PATENT



IAP13 Rec'd PCT/PTO 05 FEB 2007

FEB 5 2007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Xaver WIRTH et al. Confirmation No. 5577
U.S. Serial No.: 10/578,319 Art Unit: Not yet assigned
Filed: May 4, 2006 Examiner: Not yet assigned
For: BRAKE DISK, ESPECIALLY FOR A RAIL VEHICLE

SUBMISSION OF MISSING PARTS IN APPLICATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto find:

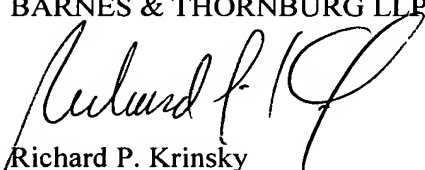
1. A copy of the Notice to File Missing Requirements.
2. The executed Declaration and Power of Attorney (2 pages).
3. The executed Assignment with cover sheet (3 pages).
4. Information Disclosure Statement and PTO-1449 w/12 cited references.
5. The following fees: \$130.00 for filing the missing Declaration and \$40.00 for recording the Assignment.

Please credit any overpayments or charge any additional fees to the Deposit Account of Barnes & Thornburg LLP, Account No. 02-1010 (566/44949).

Respectfully submitted,

BARNES & THORNBURG LLP

BARNES & Thornburg LLP Customer No.:
23646
U.S. PATENT AND TRADEMARK OFFICE


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Enclosures

02/06/2007 GFREY1 00000079 10578319

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130.00 OP

VSRDate: Mar 12, 2007

UNITED STATES PATENT AND TRADEMARK OFFICE

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RPK

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|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/578,319 | Xaver Wirth | 566/44949 |

 23646
 BARNES & THORNBURG LLP
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| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/EP04/12287 | |
| I.A. FILING DATE | PRIORITY DATE |
| 10/29/2004 | 11/05/2003 |

 CONFIRMATION NO. 5577
 371 FORMALITIES LETTER


OC000000021968231

Date Mailed: 01/12/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/04/2006
- English Translation of the IA filed on 05/04/2006
- Copy of the International Search Report filed on 05/04/2006
- Copy of IPE Report filed on 05/04/2006
- Preliminary Amendments filed on 05/04/2006
- Request for Immediate Examination filed on 05/04/2006
- U.S. Basic National Fees filed on 05/04/2006
- Substitute Specification filed on 05/04/2006
- Priority Documents filed on 05/04/2006
- Non-English Language Application filed on 05/04/2006
- Specification filed on 05/04/2006
- Claims filed on 05/04/2006
- Abstracts filed on 05/04/2006
- Drawings filed on 05/04/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

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missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/578,319 | PCT/EP04/12287 | 566/44949 |